



**THARAKA NITHI COUNTY  
GOVERNMENT**

**TENDER NO.....**

---

**PREQUALIFICATION OF**

.....  
.....  
.....  
.....

**FOR THE  
FINANCIAL YEARS 2019-2020/2020-2021**

---

**TENDERER'S NAME:** .....

**REFERENCE:**

**ITEM DESCRIPTION:**

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# Tender Notice

Date: .....

Reference: *(please indicate)*

Tender name: *(please indicate)*

**1.1**The County Government of Tharaka Nithi invites applications for pre-qualification/registration of Suppliers from interested and eligible bidders for prequalification for the above works.

**1.2**Interested eligible candidates may obtain further information from County Procurement Office during normal working hours.

**1.1A** complete set of tender documents may be obtained by interested candidates at County website [www.tharakanithi.go.ke](http://www.tharakanithi.go.ke)

**1.2**Duly completed pre-qualification documents in plain sealed envelopes clearly marked “Tender No..... For the Prequalification of .....” and be deposited in the Tender Box at the County Headquarters, Kathwana or be addressed to:

THE COUNTY SECRETARY,  
COUNTY GOVERNMENT OF THARAKA NITHI,  
P.O BOX 10-6040,  
KATHWANA.

So as to be received on or before **25<sup>th</sup> June, 2019 at 11.00 am.**

**1.5** Tenders will be opened immediately thereafter in the presence of the Candidates or their representatives who choose to attend at The County headquarters boardroom, Kathwana.

## **PRE-QUALIFICATION INSTRUCTIONS**

### **2.1 Introduction**

The County Government of Tharaka Nithi would like to invite interested candidates who must qualify by meeting the set criteria as provided by the procuring entity to perform the contract of supply and delivery or provision of goods and services to the government.

### **2.2 Pre-qualification Objective**

The main objective is to supply and deliver assorted items and provide services under relevant tenders/quotations to the various departments in Tharaka Nithi County as and when required during the period ending 30<sup>th</sup> June, 2021.

### **2.3 Invitation of Pre-qualification**

Suppliers registered with Registrar of Companies under the Law of Kenya in respective merchandise or services are invited to submit their PRE-QUALIFICATION documents to THE COUNTY SECRETARY, THARAKA NITHI COUNTY, so that they may be pre-qualified for submission of quotations. Bids will be submitted in complete lots singly or in combination. The prospective Suppliers are required to supply mandatory information for pre-qualification.

### **2.4 Experience**

Prospective suppliers and contractors must have carried out successful supply and delivery of similar items/services to Government institutions of similar size and complexity. Potential suppliers/contractors must demonstrate the willingness and commitment to meet the pre-qualification criteria.

### **2.5 Pre-qualification Document**

This document includes questionnaire forms and documents required of prospective suppliers.

### **2.6** In order to be considered for pre-qualification, prospective suppliers must submit all the information herein requested.

## **2.7 Distribution of Pre-qualification Documents**

**Two copies** of the completed pre-qualification data and other requested information shall be submitted to reach:

THE COUNTY SECRETARY,  
COUNTY GOVERNMENT OF THARAKA NITHI,  
P.O BOX 10-60406,  
KATHWANA

**Not later than 25<sup>th</sup> June, 2019 at 11.00 am.**

## **2.8 Questions Arising from Documents**

Questions that may arise from the pre-qualification documents should be directed to the Director, Supplies Chain Management Services, COUNTY GOVERNMENT OF THARAKA NITHI, whose address is given in par 2.7

## **2.9 Additional Information**

The Government reserves the right to request submission of additional information from prospective bidders.

- 2.10** Request for quotations will be made available only to those bidders whose qualifications are accepted by Government after scoring more than 70% points after the completion of the pre-qualification process.

## **3. BRIEF CONTRACT REGULATIONS/GUIDELINES**

### **3.1 Taxes on Imported Materials**

The Supplier will have to pay custom duty and VAT as applicable for all imported materials to be supplied unless the item(s) is/are donor funded.

### **3.2 Customs Clearance**

The contractors shall be responsible for custom clearance of their imported goods and materials.

### **3.3 Contract Price**

The contract shall be of unit price type or cumulative of computed unit price and quantities required. Quantities may increase or decrease as determined by demand on the authority of the client's Accounting Officer or Tender Committee. Prices quoted should be inclusive of all delivery charges.

### **3.4 Payments**

All local purchase shall be on credit of a minimum of thirty (30) days or as it may be stipulated in the Contract Agreement.

## **4. PRE-QUALIFICATION DATA INSTRUCTIONS**

### **4.1 Pre-qualification data forms**

**4.1.1** The attached questionnaire forms are to be completed by prospective suppliers/contractors who wish to be pre-qualified for submission of tender for the specific tender.

**4.1.2** **The pre-qualified application forms which are not filled out completely and submitted in the prescribed manner will not be considered. All the documents that form part of the proposal must be written in English and in ink.**

### **4.2 Qualification**

**4.2.1** It is understood and agreed that the pre-qualification data on prospective bidders is to be used by Government in determining, according to its sole judgment and discretion, the qualifications of prospective bidders to perform in respect to the Tender Category as described by the client.

**4.2.2** **Prospective bidders will not be considered qualified unless in the judgement of Government they possess capability, experience, qualified personnel available and suitability of equipment and net current assets or working capital sufficient to satisfactorily execute the contract for the above works.**

### **4.3 Essential Criteria for Pre-qualification**

- 4.3.1** (a) Experience: Prospective bidders shall have at least 2 years experience in the supply of goods, services and allied items in case of potential supplier/contractor should show competence, willingness and capacity to service the contract.
- (b) Prospective supplier requires special experience and capability to organize supply and delivery of items, or services at short notice.

#### **4.3.2 Personnel**

The names and pertinent information and CV of the key personnel for individual or group to execute the contract must be indicated in the provided form.

#### **4.3.3 Financial Condition**

The Supplier's financial condition will be determined by latest financial statement submitted with the prequalification documents as well as letters of reference from their bankers regarding suppliers/contractors credit position. Potential suppliers/contractors will be pre-qualified on the satisfactory information given.

- 4.3.4** Special consideration will be given to the financial resources available as working capital, taking into account the amount of uncompleted orders on contract and now in progress. However, potential bidders should provide evidence of financial capability to execute the contract.

#### **4.3.5 Past Performance**

Past performance will be given due consideration in pre-qualifying bidders. Letter of reference from past customers should be included in the provided form.

### **4.4 Statement**

Application must include a sworn statement in the form provided by the Tenderer ensuring the accuracy of the information given.



#### **4.5 Withdrawal of Prequalification**

Should a condition arise between the time the firm is pre-qualified to bid and the bid opening date which in the opinion of the client/Government could substantially change the performance and qualification of the bidder or his ability to perform such as but not limited to bankruptcy, change in ownership or new commitments, the Government reserves the right to reject the tender from such a bidder even though he was initially pre-qualified.

**4.6** The firm must have a fixed Business Premise and must be registered in Kenya, with certificate of registration incorporation/memorandum and Article of Association, copies of which must be attached.

**4.6.1** The firm must show proof that it has paid all its statutory obligations and have current Tax compliance certificate

#### **4.7 Prequalification Criteria**

- a) All the applications shall be sorted out according to the various categories and levels contained in the application for pre-qualification form.
- b) Pre-screening shall be done for all the applications in each category to determine responsiveness by providing copies of:
  - 1) Certificate of Incorporation / Registration;
  - 2) PIN/VAT Certificate;
  - 3) Current Trade License / Business Permit;
  - 4) Certificates from affiliated regulatory or accrediting bodies/associations (where applicable);
  - 5) Current Tax Compliance Certificate(Valid);
  - 6) Evidence of Physical Location of business premise;
  - 7) Evidence of Electronic Tax Register (ETR);
  - 8) At least three (3) letters of commendation from your corporate/major clients;
  - 9) Completed Confidential Business Questionnaire;
  - 10) Audited accounts for the last two years or 6 months Bank Statements;
  - 11) Company profile; and
  - 12) For the Special Group( Youth, Women and disadvantaged or persons living with disability) MUST provide a copy of certificate of Registration under the Public Procurement & Disposal Act (Preference and Reservations) Regulations, 2013 from the National Treasury or a document of proof of registration from a recognized body.
- c) Casual applicants shall be considered substantially non-responsive and shall be excluded from those considered for detailed evaluation

d) A list shall be compiled for those tenderers who pass the preliminary evaluation to be evaluated in detail.

Item No.	Evaluation Criteria	Max Score	Score Awarded	Remarks
<b>A</b>	<b>Mandatory Requirements</b>	<b>YES/NO</b>		
1	Certificate of Incorporation under Companies Act 486/Registration certificate	YES/NO		
2	PIN/VAT Certificate	YES/NO		
3	Current Trading License under Trading license Act/Business Permit	YES/NO		
4	Tax Compliance Certificate	YES/NO		
5	Audited accounts for the last two years or 6 months certified Bank Statements;	YES/NO		
<b>B</b>	<b>Technical/Other Requirements</b>			
1	<b>Company Profile:</b> a) Provide company profile showing names of Directors, management team and general structure of the company. b) Certification by regulatory/affiliation bodies (attach copy) c) State number of Permanent and Temporary Employees d) Any quality standards certifications?	10 5 5 5		
2	<b>Experience:</b> a) Number of years in the business (maximum score for five years and above); b) List (and provide evidence) of 3 major current references with their contacts within the last two years. State product/service provided and value of goods/service.	10 5		
3	<b>Physical Facilities:</b> a) Provide evidence of availability of office premise (copy of title deed, lease/tenancy agreement or utility bill);	15		
4	<b>Financial Capacity:</b> Provide audited accounts for the last 2 years or 6 months bank statements; b) Demonstrate access to credit facilities;	10 10		
5	Provide qualifications and experience of key personnel (attach CVs)	8		
6	State Regions where you have presence outside of Nairobi	2		
7.	Document Presented in a required format(Neatly bound and required certificates arranged in a flowing manner easy to be seen and read)	15		
	<b>Total Score</b>	<b>100</b>		

## **4.8 The qualification is 70 points and over**

**PRE-QUALIFICATION DATA**

**REGISTRATION OF SUPPLIERS APPLICATION FORM**

1/We ..... hereby apply for registration as supplier(s)  
(Name of Company/Firm)

of .....  
(Item Description)

.....  
(Category No.)

Post Office Address .....

Town .....

Street .....

Name of building .....

Room/Office No. .... Floor No. ....

Telephone Nos. ....

Full Name of applicant .....

Other branches location .....

2. Organization & Business Information

Management Personnel .....

President (Chief Executive) .....

Secretary .....

General Manager .....

Treasurer .....

Other .....

..... \

Partnership (if applicable)

Names of Partners

3. Business founded or incorporated .....
4. Under present management since .....
5. Net worth equivalent Kshs. ....
6. Bank reference and address .....  
.....
7. Bonding company reference and address .....
8. Enclose copy of organization chart of the firm indicating the main fields of  
activities .....
9. State any technological innovations or specific attributes which distinguish you  
from your competitors .....  
.....  
.....
10. Indicate terms of trade/sale

**SUPERVISORY PERSONNEL**

Name .....

Age .....

Academic Qualification .....

.....

Professional Qualification .....

.....

Length of service with Contractor or Supplier position held .....

.....

(Attach copies of certificates of key personnel in the organization)

## **FINANCIAL POSITION AND TERMS OF TRADE**

- (1) Attach a copy of firm's two certified financial statements giving summary of assets and current liabilities/or any other financial support.
  
- (2) Attach letters of reference from the bankers regarding supplier's credit position.

**REPUBLIC OF KENYA**

**CONFIDENTIAL BUSINESS QUESTIONNAIRE**

You are requested to give the particulars indicated in Part I and either Part 2 (a), 2 (b) or 2 (c) whichever applies to your type of business.

You are advised that it is a serious offence to give false information on this form

*Part I- General :*  
Business Name .....  
Location of business premises.....  
Plot No. .... Street/Road.....  
Postal Address..... Tel. No.....  
Nature of business.....  
Current Trade License No..... Expiring date.....  
Maximum value of business which you can handle at any one time: K£.....  
Name of your bankers..... Branch .....

<input type="checkbox"/>	<p><b>Part 2 (a) – Sole Proprietor</b> Your name in full..... Age..... Nationality..... Country of origin..... *Citizenship details.....</p>																								
<input type="checkbox"/>	<p><b>Part 2 (b) Partnership</b> Given details of partners as follows:</p> <table border="0" style="width: 100%;"><thead><tr><th style="text-align: center;"><i>Name</i></th><th style="text-align: center;"><i>Nationality</i></th><th style="text-align: center;"><i>Citizenship Details</i></th><th style="text-align: center;"><i>Shares</i></th></tr></thead><tbody><tr><td>.....</td><td>.....</td><td>.....</td><td>.....</td></tr><tr><td>.....</td><td>.....</td><td>.....</td><td>.....</td></tr><tr><td>.....</td><td>.....</td><td>.....</td><td>.....</td></tr><tr><td>.....</td><td>.....</td><td>.....</td><td>.....</td></tr></tbody></table>	<i>Name</i>	<i>Nationality</i>	<i>Citizenship Details</i>	<i>Shares</i>	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....				
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<input type="checkbox"/>	<p><b>Part 2 (c) – Registered Company:</b> Private or Public..... State the nominal and issued capital of company- Nominal K£..... Issued K£..... Given details of all directors as follows:-</p> <table border="0" style="width: 100%;"><thead><tr><th style="text-align: center;"><i>Name</i></th><th style="text-align: center;"><i>Nationality</i></th><th style="text-align: center;"><i>Citizenship Details</i></th><th style="text-align: center;"><i>Shares</i></th></tr></thead><tbody><tr><td>1. ....</td><td>.....</td><td>.....</td><td>.....</td></tr><tr><td>2. ....</td><td>.....</td><td>.....</td><td>.....</td></tr><tr><td>3. ....</td><td>.....</td><td>.....</td><td>.....</td></tr><tr><td>4. ....</td><td>.....</td><td>.....</td><td>.....</td></tr><tr><td>5. ....</td><td>.....</td><td>.....</td><td>.....</td></tr></tbody></table>	<i>Name</i>	<i>Nationality</i>	<i>Citizenship Details</i>	<i>Shares</i>	1. ....	.....	.....	.....	2. ....	.....	.....	.....	3. ....	.....	.....	.....	4. ....	.....	.....	.....	5. ....	.....	.....	.....
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Date ..... Signature of Candidate.....

\*if Kenya Citizen, indicate under “Citizenship Details” whether by Birth, Naturalization or Registration.



**PAST EXPERIENCE**

**NAMES OF THE APPLICANTS CLIENTS IN THE LAST TWO YEARS**

**NAMES OF OTHER CLIENTS AND VALUES OF CONTRACT/ORDERS**

1.    i)    Name of Client (organization) .....
- ii)   Address of Client (organization) .....
- iii)   Name of Contact Person at the client (organization) .....
- iv)    Telephone No. of Client .....
- v)     Value of Contract .....
- vi)    Duration of Contract (date) .....
- (Attach documental evidence of existence of contract)
  
2.    Name of 2<sup>nd</sup> Client (organization)
- i)    Name of Client (organization) .....
- ii)   Address of Client (organization) .....
- vii)   Name of Contact Person at the client (organization) .....
- viii)   Telephone No. of Client .....
- ix)    Value of Contract .....
- x)     Duration of Contract (date) .....
- (Attach documental evidence of existence of contract)
  
3.    Name of 3<sup>rd</sup> Client (organization)
- i)    Name of Client (organization) .....
- ii)   Address of Client (organization) .....
- xi)    Name of Contact Person at the client (organization) .....
- xii)   Telephone No. of Client .....
- xiii)   Value of Contract .....
- xiv)   Duration of Contract (date) .....
- (Attach documental evidence of existence of contract)
  
4.    Others .....

## LITIGATION HISTORY

Name of Contract Supplier

Contractors/Suppliers should provide information on any history litigation or arbitration resulting from contracts executed in the last five years or currently under execution.

<b>YEAR</b>	<b>AWARD FOR OR AGAINST</b>	<b>NAME OF CLIENT CAUSE OF LITIGATION AND MATTER IN DISPUTE</b>	<b>DISPUTED AMOUNT (CURRENT VALUE, KSHS. EQUIVALENT)</b>

**SWORN STATEMENT**

Having studied the pre-qualification information for the above project we/I hereby state:

- a. The information furnished in our application is accurate to the best of our knowledge.
- b. That in case of being pre-qualified we acknowledge that this grants us the right to participate in due time in the submission of a tender or quotation on the basis of provisions in the tender or quotation documents to follow.
- c. When the call for Quotations is issued the legal technical or financial conditions or the contractual capacity of the firm changes we come ourselves to inform you and acknowledge your right to review the pre-qualification made.
- d. We enclose all the required documents and information required for the pre-qualification evaluation.

**Date** .....

**Applicant's Name** .....

**Represented by** .....

**Signature** .....

**(Full name and designation of the person signing and stamp or seal)**